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## **On the variation of the maximum crystal nucleation rate temperature with glass transition temperature**

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### **Abstract:**

The variation of the maximum nucleation rate temperature ( $T^*$ ) with respect to the glass transition temperature ( $T_g$ ) is analyzed as a function of system parameters such as fragility. Calculated values are compared with experimental results for six stoichiometric oxide systems that are known to exhibit homogeneous nucleation. An examination of  $T^*(T_g)$  relation supports the experimental observation that liquids having  $T^* > T_g$  tend to exhibit homogeneous nucleation whereas only heterogeneous nucleation is observed in other systems.

## 1. INTRODUCTION

Below the melting temperature ( $T_M$ ), a liquid is metastable against the stable crystal state. The crystal nucleation rate in a supercooled liquid shows a maximum at some temperature ( $T^*$ ) before vanishing at low temperatures<sup>1</sup>. There is considerable interest in understanding how  $T^*$  varies with various system parameters<sup>2,3</sup>. The maximum nucleation rate temperature plays an important role in the design of nano-structured glass-ceramics<sup>4</sup>. More importantly, as observed by James<sup>5</sup> and by Zanotto<sup>6</sup>, it is a signature of the nucleation ability of a liquid. Systems having  $T^*$  greater than the glass transition temperature ( $T_g$ ) tend to exhibit (in commonly accessible laboratory time/sample-size scales) homogeneous (internal or volume) nucleation while others, having  $T^* < T_g$ , show only heterogeneous (surface) nucleation. This suggests that the glass forming ability is better for systems having  $T^* < T_g$ . This paper reports an analysis of the variation of  $T^*$  with  $T_g$  as a function of system parameters such as fragility. The calculations are compared with experimental data in six stoichiometric oxide glass formers. These are the only (to our knowledge) stoichiometric oxide systems where homogeneous nucleation has been documented and for which sufficient thermodynamic and kinetic data are available to make the necessary calculations.

Similar to previous reported efforts in the literature<sup>5-8</sup> the present analysis uses a formalism based on the classical nucleation

theory (CNT) in combination with the Stokes-Einstein (SE) equation for the atomic transport involved in nucleation, the Vogel–Fulcher–Tammann (VFT) expression for the temperature dependence of viscosity, and the Turnbull expression for the thermodynamic driving force for crystal nucleation. As has been pointed out<sup>9</sup>, there are some fundamental issues with this phenomenology. While the failures of CNT in predicting absolute values of nucleation rates are well known<sup>10,11</sup>, several investigations<sup>5,7,12</sup> have reported that the temperature dependence of the nucleation rate is well described by CNT, at least in the temperature range above  $T^*$ . Similarly, the SE and Turnbull equations are questionable approximations<sup>13</sup> but have been used frequently<sup>2,7,8</sup> to provide qualitative (i.e., generic) description of nucleation kinetics. The validity of VFT is also controversial (especially at very low temperatures,  $T < T_g$ ) despite the fact that it fits the viscosity data well above  $T_g$ <sup>14,15</sup>. In spite of these shortcomings, several investigators<sup>5,7</sup> have successfully used this formalism to examine the temperature dependence of the nucleation kinetics in stoichiometric oxide melts. Our motivation to use of this formalism lies in the fact that it permits quantitative results about the variation of  $T^*$  with  $T_g$  with a mathematical rigor (i.e., with no subsequent mathematical simplifications or approximations) that has not been reported in previous works. In principle, the present treatment can be extended to more complex formalisms (non-SE, non-VFT and non-Turnbull) but not without a significant loss of clarity and rigor.

## 2. LITERATURE REVIEW

As early as 1986, Weinberg<sup>16</sup> analyzed the dependence of  $T^*$  on system parameters using CNT. Weinberg showed that in general  $T^* \geq T_M / 3$  (where  $T_M$  is the melting temperature of the crystal phase). This analysis showed that two dimensionless parameters (a reduced activation energy of viscous flow and the ratio of the difference in specific heat between the liquid and the crystal to the entropy of fusion) control the location of  $T^*$ . In addition, he showed that  $T^*$  is dominated by (and increases with increase in) the activation energy of viscous flow. However, Weinberg's analysis used an Arrhenius temperature dependence of the viscosity that is limited to "strong" liquids and employed an approximate form of the Stokes–Einstein equation to account for the atomic re-arrangements controlling crystal nucleation in the liquid. The effects of these approximations are not clear on his results.

Later, Zanotto and Weinberg (ZW)<sup>8</sup> used the Stokes–Einstein equation in combination with the non-Arrhenius VFT temperature dependence of viscosity to calculate (numerically) the values of  $T^*$  for selected stoichiometric oxide glass compositions. Based on these results, ZW made the important observation: only glasses having  $T^* > T_g$  exhibited internal (homogeneous) nucleation in laboratory time/size scales. However, ZW did not perform an analytic variation of  $T^*$  as a function of system parameters. Fokin et al.<sup>2</sup> reported experimental evidence that, in silicate melts that undergo internal nucleation,  $T^*$  is typically close to (equal or greater than)  $T_g$ .

Unfortunately, they also used an approximate form of the Stokes–Einstein equation to rationalize the dependence of  $T^*$  on  $T_g$ . Recently, Schmelzer et al.<sup>3</sup> have analyzed the variation of  $T^*$  (as well as the temperatures of maximum growth rate and that of overall crystallization rate). While their calculated results for  $T^*$  are similar to the results reported in this paper, they did not use the Stokes–Einstein or the VFT equations. Further, they introduced an unconventional definition of fragility that makes their results difficult to compare with the conventional fragility data. To our knowledge, a rigorous analysis of  $T^*$  as a function of  $T_g$  and fragility is not available in the literature.

### 3. ANALYSIS

#### i) *The VFT expression for the T-dependence of viscosity, $\eta(T)$ :*

The VFT expression<sup>17–19</sup> is an empirical non-Arrhenius equation that exhibits viscosity divergence at a finite temperature  $T_0$  - called the VFT temperature. Using a reduced temperature,  $x$ , that is normalized with respect to the melting temperature,  $T_M$  of the crystal phase:

$$x \equiv \frac{T}{T_M} \quad , \quad (1)$$

the VFT equation can be expressed as follows:

$$\ln(\eta) = A + \frac{C_2}{x - x_0} \quad , \quad (2)$$

where  $A$ ,  $C_2$  (the dimensionless activation energy), and  $x_0 = T_0/T_M$  (the reduced VFT temperature) are the three VFT parameters. The VFT equation can also be expressed in terms of experimentally accessible system parameters, such as the reduced glass transition temperature and fragility. Using eqn 2, the reduced glass transition temperature,  $x_g$  (defined as the temperature where the value of viscosity,  $\eta_g$ , is  $10^{12}$  Pa.s) is given by:

$$x_g = x_0 + \frac{C_2}{D} \quad (3)$$

Here, the constant  $D \equiv [\ln(\eta_g) - A]$  has a fixed value of approximately 37. Using eqn 3, the VFT eqn can be expressed in terms of  $x_g$ :

$$\ln(\eta) = A + D \frac{x_g - x_0}{x - x_0} \quad (4)$$

It is also possible to write the VFT eqn in terms of the fragility ( $m$ ) of the system, which is defined<sup>20</sup> as:

$$m = \left. \frac{\partial \log_{10}(\eta)}{\partial \left( \frac{x_g}{x} \right)} \right|_{x=x_g} \quad (5)$$

Using eqn 4, it can be readily shown that

$$x_0 = x_g \left[ 1 - \frac{m_0}{m} \right] \quad (6)$$

where  $m_0$ , the minimum value of fragility (corresponding to the strongest liquid), is about 16 and is related to  $D$  as follows:

$$D = m_0 (\ln(10)) \quad . \quad (7)$$

Eliminating  $x_0$  between eqns 4 and 6 gives the VFT eqn that has  $m_0$ ,  $x_g$ , and  $m$  as the three VFT parameters:

$$\ln(\eta) = A + \frac{m_0^2 \ln(10)}{m \left( \frac{x}{x_g} - 1 \right) + m_0} \quad , \quad (8)$$

where  $A$  and  $m_0$  are related as follows:

$$A = (12 - m_0) (\ln(10)) \quad . \quad (9)$$

ii) **Expression for the  $T$ -dependent steady state nucleation rate:**

When combined with the Stokes-Einstein equation, CNT gives the following expression for the steady state nucleation rate,  $I(T)$ :

$$I(T) = \frac{KT}{\eta(T)} \exp \left[ - \left( \frac{W(T)}{k_B T} \right) \right] \quad (10)$$

Here  $K$  is a constant and  $k_B$  is the Boltzmann constant. For a spherical nucleus, the thermodynamic barrier,  $W$ , is given by:

$$W(T) = \frac{16 \pi \sigma^3}{3 \Delta G_V^2(T)} \quad . \quad (11)$$

Here  $\sigma$  is the surface energy density of the crystal-liquid interface. According to the Turnbull expression<sup>21</sup>, which gives an upper bound for the free energy change per unit volume,  $\Delta G_V$ , is expressed in terms of the enthalpy of melting,  $\Delta H_M$ :

$$\Delta G_V = \frac{\Delta H_M}{V} \left[ 1 - \frac{T}{T_M} \right] \quad (12)$$

Here  $V$  is the molar volume. Combining eqns 1, 11, and 12, one can rewrite  $W$  as follows:

$$\frac{W}{k_B T} = \frac{C_1}{x(1-x)^2} \quad (13)$$

where the dimensionless positive parameter  $C_1$ , representing the thermodynamic barrier for nucleation, is given by

$$C_1 = \left( \frac{16\pi}{3} \right) \frac{\sigma^3 V^2}{k_B T_M (\Delta H_M)^2} \quad (14)$$

The value of the surface energy,  $\sigma$ , can be calculated via the Skapski-Turnbull equation<sup>21-23</sup>:

$$\sigma = \frac{\alpha \Delta H_M}{\sqrt[3]{N_A V_M^2}} \quad (15)$$

Where  $\alpha$  is a non-dimensional parameter, ( $0.4 < \alpha < 0.5$ ), for homogeneous nucleation in supercooled liquids and  $N_A$  is Avogadro's number and  $V_M$  is the molar volume of the crystal phase.

Using eqns (2) and (13), eqn (10) for the nucleation rate becomes:

$$y(x) = \ln(x) - \frac{C_1}{x(1-x)^2} - \frac{C_2}{x-x_0} \quad (16)$$

where

$$y(x) \equiv A + \ln \left[ \frac{I(x)}{K T_M} \right] \quad (17)$$

### iii) Analysis for $T^*$ ( $T_g$ , system parameters):

Our goal is to find, using eqn 16, the variation of  $x^*$  (the value of  $x$  where  $y$  is maximized) as a function of the reduced glass transition temperature,  $x_g$  keeping  $C_1$  (the reduced thermodynamic barrier) fixed. The variation of  $x^*$  with  $x_g$  depends on which of the three kinetic parameters is held fixed:  $C_2$ , or  $x_0$ , or  $m$ .

a) Solution,  $x^*(x_g, C_2)$ , when  $C_2$  is fixed:

Setting the derivative of eqn 16 with respect to  $x$  equal to zero and using eqn 3, gives the following result:

$$x_g = \frac{C_2}{D} + x^i \pm \left[ \frac{C_2 (x^i)^2 (1-x^i)^3}{C_1 (3x^i - 1) - x^i (1-x^i)^3} \right]^{1/2} \quad (18)$$

We use the negative sign since it gives the correct expression for the special case when  $x_0 = 0$  (i.e., for strong liquids that exhibit Arrhenian viscosity). Thus, for the case of constant  $C_2$ , one gets an explicit solution for  $x_g(x^*, C_2)$  :

$$x_g = \frac{C_2}{D} + x^i - \left[ \frac{C_2 (x^i)^2 (1-x^i)^3}{C_1 (3x^i - 1) - x^i (1-x^i)^3} \right]^{1/2} \quad (19)$$

This equation can be numerically inverted to give  $x^*(x_g, C_2)$ .

b) Solution,  $x^*(x_g, x_0)$ , when  $x_0$  is fixed:

By combining eqn 3 with eqn 19, we obtain the following expression for  $x_g(x^*, x_0)$ :

$$x_g = x_0 + \left( \frac{(x_0 - x^i)^2 [C_1(3x^i - 1) - x^i(1 - x^i)^3]}{D(x^i)^2(1 - x^i)^3} \right) \quad (20)$$

As with eqn 19, eqn 20 can be numerically inverted to yield  $x^*(x_g, x_0)$ .

c) Solution,  $x^*(x_g, m)$ , when fragility ( $m$ ) is fixed:

For the case of constant fragility ( $m$ ), combining eqn 6 with eqn 20 gives the following result for  $x_g(x^*, m)$ :

$$x_g = \frac{-b + \sqrt{b^2 - 4 \left[1 - \left(\frac{m_0}{m}\right)\right]^2 (x^i)^2}}{2 \left[1 - \frac{m_0}{m}\right]} \quad (21)$$

where

$$b = -2x^i \left(1 - \frac{m_0}{m}\right) - \frac{m_0^2}{m} \ln(10) \left[ \frac{(x^i)^2(1 - x^i)^3}{C_1(3x^i - 1) - x^i(1 - x^i)^3} \right] \quad (22)$$

Eqns 21 and 22 can be solved for  $x_g$  as a function of  $x^*$  for a given value of  $m$  ( $> m_0$ ). The result can then be inverted to plot  $x^*$  as a function of  $x_g$  for a value of  $m$  greater than  $m_0$ .

For the special case of strong liquid (i.e., for  $m = m_0$ ), the solution given by eqn 21 reduces to eqn 20 with  $x_0 = 0$ :

$$x_g(m=m_0) = \frac{C_1(3x^i - 1) - x^i(1-x^i)^3}{D(1-x^i)^3} \quad (23)$$

In addition, for the special case of extremely fragile liquid when  $m$  approaches infinity, eqn 21 reduces to (for  $x_g > 1/3$ ):

$$x_g(m \rightarrow \infty) = x^i \quad (24)$$

#### 4. RESULTS

The calculations are performed for  $x^*$  as a function of  $x_g$  using a value of  $D = 37$ . The results are shown in figures 1 – 3.

Figure 1 shows values of  $x^*$ , at fixed values of  $C_1$  and  $C_2$  calculated using equation 19, plotted as a function of  $x_g$  for four combinations of  $(C_1, C_2)$  values: (6,2), (6,4), (10,2), and (10,4). As shown in Table I, these ranges of  $C_1$  and  $C_2$  values cover the range typical of silicate glass-formers. According to equation 3, a variation of  $x_g$  when holding  $C_2$  fixed is equivalent to a variation in  $x_0$ . Thus Figure 1 is effectively a plot of  $x^*$  versus  $x_0$  for fixed  $C_1$  and  $C_2$ .

Table I: Values of calculated parameters. Uncertainties obtained from nonlinear regression of the viscosity data (one standard deviation) are given by the number in the parentheses and represent the

precision in the last digit. Uncertainties in  $C_2$  and  $m/m_0$  were obtained via linear error propagation.

Composition	$C_1$	$C_2$	$x^*$	$x_0$	$x_g$	$x_g$ (Eq 20)	$m/m_0$
$\text{Li}_2\text{Si}_2\text{O}_5$	8.6	5.8(2)	0.56	0.382(4)	0.555(7)	0.57	3.2(1)
$\text{BaSi}_2\text{O}_5$	4.1	5.54(8)	0.58	0.412(2)	0.569(3)	0.51	3.63(7)
$\text{CaSiO}_3$	5.8	3.9(1)	0.58	0.461(3)	0.571(5)	0.52	5.2(2)
$\text{Na}_2\text{Ca}_2\text{Si}_3\text{O}_9$	11	7.6(3)	0.55	0.340(7)	0.53(1)	0.62	2.8(1)
$\text{Na}_4\text{CaSi}_3\text{O}_9$	8.4	4.7(2)	0.55	0.395(6)	0.532(9)	0.52	3.9(2)
$\text{Ba}_2\text{TiSi}_2\text{O}_8$	11	3.80(8)	0.59	0.472(2)	0.575(3)	0.61	5.6(2)

Figure 2 shows  $x^*$  calculated using eqn 20 as a function of  $x_g$  for fixed values of  $C_1$  and  $x_0$ . The variation of  $x_g$ , at fixed  $x_0$ , is because of variation in  $C_2$ . Two different values of  $x_0$  (0.3 and 0.4) and two values of  $C_1$  (6,10), which cover the range of typical values reported for a number of silicate glasses, are used in this figure.

Figure 3 shows calculated  $x^*$  plotted as a function of  $x_g$  for fixed values of  $C_1$  and fragility ( $m$ ). Two different values of  $C_1$  (6 and 10) and three different values of the ratio  $m/m_0$  (= 1, 3, and 6) are used. It should be emphasized that the dashed line  $x^* = x_g$  (for  $x_g > 1/3$ ) corresponds to the case of an infinitely fragile liquid (i.e.,  $m \rightarrow \infty$ ). The intercept of  $x^*$  at  $x_g = 0$ , although appears to be the same for all curves in figure 3, actually decreases somewhat with increase in  $C_1$  but is independent of the value of  $m$ . For example, for  $C_1 = 6$  the intercept  $x^*$  value is 0.3388 and for  $C_1 = 10$ , the intercept value is 0.3366.

Also shown in these figures are the experimental data points for six stoichiometric silicate melts where homogenous nucleation has been observed experimentally. The values of various parameters for these six systems are listed in Tables I and II using published data from various sources (see Table II for the references).

Table II: Experimental data on six stoichiometric compositions. Viscosity data was used to obtain the VFT parameters A, B ( $= C_2 T_M$ ), and  $T_0$  and  $T_g$  (temperature where the viscosity is equal to  $10^{12}$  Pa.s).  $\sigma$  calculated via Eq. (15) considering  $\alpha = 0.45(5)$ . References are given by the numbers in the brackets. Numbers inside parentheses represent the precision in the last digit.

Composition	Li <sub>2</sub> Si <sub>2</sub> O <sub>5</sub>	BaSi <sub>2</sub> O <sub>5</sub>	CaSiO <sub>3</sub>	Na <sub>2</sub> Ca <sub>2</sub> Si <sub>3</sub> O <sub>9</sub>	Na <sub>4</sub> CaSi <sub>3</sub> O <sub>9</sub>	Ba <sub>2</sub> TiSi <sub>2</sub> O <sub>8</sub>
$V_m \times 10^{-05}$ (m <sup>3</sup> /mol)	6.39** [24]	7.39 [38]	3.99** [42]	12.9* [51]	13.5* [54]	11.4 [59]
$T^*$ (K)	732 [7,25–31]	984 [7,39,40]	1050 [43]	856 [12,52]	778 [55]	1014 [60,61]
$T_g$ (K)	725(9)	963(5)	1039(9)	834(18)	755(13)	985(5)
$T_M$ (K)	1306 [32]	1693 [37]	1821 [44]	1564 [12]	1418 [56]	1715 [62]
$T_0$ (K)	499(6)	698(4)	840(6)	532(11)	560(8)	809(3)
B (K)	7600(200)	9400(100)	7200(200)	11900(500)	6700(300)	6500(100)
A (ln of Pa.S)	-5.8(2)	-7.7(1)	-8.4(2)	-11.8(4)	-6.8(3)	-9.3(1)
$\sigma$ (J/m <sup>2</sup> )	0.20(2)	0.11(1)	0.26(3)	0.19(2)	0.13(1)	0.24(3)
$\Delta H_m$ (J/mol)	61086 [33]	37500 [7]	57300 [45]	91211 [53]	64860 [57]	106000 [63]
Viscosity Refs.	[7,25,29, 34–37]	[7,37,39,41]	[46–50]	[12,52]	[55,58]	[64–66]

\* Molar volume from glass.

\*\* Estimated from XRD data

## 5. DISCUSSION

To begin with, we emphasize that equations 19, 20, and 21 apply only for nucleation in the equilibrium supercooled liquid state and not in the non-equilibrium glassy state. The thermodynamic and transport properties of the glassy state are much different than those of the super-cooled liquid state and the effects of freezing of liquid into a glass (i.e., glass transition) on the nucleation rate are not considered here. However, the supercooled liquid state can be achieved for  $T < T_g$ , as long as the observation times are greater than the required

time for the structure to relax. Indeed, the nucleation experiment times are typically much longer than such structural relaxation times.

For the formalism used, the value of  $x^*$  depends on a thermodynamic parameter  $C_1$  and two kinetic parameters. One kinetic parameter is  $x_g$  and the second can be either  $C_2$  or  $x_o$  or the fragility,  $m$ . Depending on the choice of the second kinetic parameter, one obtains different results for  $x^*(x_g)$ . The results for each of the three choices are shown in Figures 1 – 3 (where  $C_1$  is held fixed). Even though these figures appear different, they have equivalent information. The difference is because Figure 1 is a plot at constant  $C_2$  (i.e., a constant value of the difference  $(x_g - x_o)$ ). On the other hand, figure 2 is for constant values of  $x_o$ , and figure 3 is at constant value of fragility (i.e., at constant value of the ratio  $x_o/x_g$ ). Several common features of these figures are worth noting:

1) The maximum nucleation rate temperature,  $x^*$ , always increases with increase in  $x_g$ . This is consistent with experimental observations as shown by the six experimental data points. Table I shows that experimental values of  $x^*$  are higher for systems having higher values of  $x_g$  (compositions  $\text{BaSi}_2\text{O}_5$  and  $\text{Ba}_2\text{TiSi}_2\text{O}_8$ ). The  $x^*$  value is smallest for the  $\text{Na}_4\text{CaSi}_3\text{O}_9$  composition for which  $x_g$  is smallest. Fokin et al.<sup>2</sup> have made similar observation.

2) The temperature,  $x^*$ , is greater than  $x_g$  only for small values of  $x_g$ . At higher values of  $x_g$ ,  $x^*$  becomes less than  $x_g$ . The value of the cross-over temperature (which we label  $\underline{x}_g$ ) is the same, for a given

system, in all three figures. It is possible to solve for  $\underline{x}_g$ . For example, for the case of fixed  $x_0$ , it can be shown, using eqn 20, that the cross-over  $\underline{x}_g$  satisfies the following eqn:

$$C_1 = \frac{1}{3\underline{x}_g - 1} \left[ \underline{x}_g (1 - \underline{x}_g)^3 + \frac{D \underline{x}_g^2 (1 - \underline{x}_g)^3}{(\underline{x}_g - x_0)} \right] \quad (25)$$

As an example, we show in Figure 4, calculated values of  $\underline{x}_g$  as a function of  $C_1$  for two different values of  $x_0$  using eqn 25. For example, for  $x_0 = 0.4$  and  $C_1 = 10$ ,  $\underline{x}_g$  is about 0.55. The value of  $\underline{x}_g$  increases with increase in  $x_0$  and with decrease in  $C_1$ . For a fixed value of  $x_0$ , smaller values of  $C_1$  (thermodynamic barrier) increase the value of  $\underline{x}_g$  and thus the range over which  $x^*$  is greater than  $x_g$ . Similar analysis can be carried out for the case of fixed fragility. As shown in figure 3, the cross-over  $\underline{x}_g$  increases significantly with increase in fragility at a constant value of  $C_1$ .

3) The data points in figures 1, 2, and 3 correspond to experimentally observed values of  $x^*$  and  $x_g$  for six stoichiometric oxide systems that are known to nucleate homogeneously in laboratory / size scales. As shown in table I, the observed  $x^*$  values for these systems range from 0.55 to 0.59 while the  $x_g$  values range from 0.53 to 0.57. The six points are close to (but slightly above) the  $x^* = x_g$  line. Zanotto<sup>6</sup> has shown that systems that show heterogeneous nucleation tend to have  $x^* < x_g$  and, for these systems,  $x_g$  values are higher, typically in the range 0.6 to 0.7.

It is important to point out that these experimental data points are not in full agreement with the results of our calculations. In table I, we show values of  $x_g$  calculated using eqn 20 and experimental values of  $x^*$  and  $x_o$ . The agreement is excellent for the Lithium disilicate system. For three other systems ( $\text{CaSiO}_3$ ,  $\text{Na}_4\text{CaSi}_3\text{O}_9$ , and  $\text{Ba}_2\text{TiSi}_2\text{O}_8$ ) the agreement between observed  $x_g$  and calculated  $x_g$  values is within 10%. For the remaining two compositions ( $\text{BaSi}_2\text{O}_5$  and  $\text{Na}_2\text{Ca}_2\text{Si}_3\text{O}_9$ ), the agreement is poor. The reason for this disagreement is most likely because of the fairly substantial uncertainties in the thermodynamic and interfacial tension data shown in table II but could also be due to the shortcomings of the phenomenology used (i.e., the combination of CNT/SE/VFT/Turnbull expression).

4) As mentioned earlier, James<sup>5</sup>, and Zanotto and Weinberg<sup>8</sup> have noted that systems having  $x^*$  greater than  $x_g$  tend to exhibit homogeneous nucleation (in addition to heterogeneous nucleation) while others (having  $x^*$  less than  $x_g$ ) show only heterogeneous nucleation. This observation is supported by the present results.

Since heterogeneous nucleation effectively occurs at reduced values of interfacial energy (i.e., lower values of  $C_1$ ), the heterogeneous nucleation rate is frequently, but not always, larger than the homogeneous nucleation rate. This is because the number of available nucleation sites is always much smaller for heterogeneous than homogeneous nucleation. In general, even if homogeneous nucleation is not observed in the bulk of a sample, heterogeneous nucleation has most likely occurred on the surface (or other

heterogeneous sites) of the sample. Heterogeneous nucleation can easily be detected because the nucleation rate versus temperature curve (and its maximum) is always shifted to higher temperatures when compared to homogeneous nucleation in the same liquid. Further, above  $T_g$  the nucleation time lag (time period necessary for the system to reach a steady-state distribution of embryos) for heterogeneous nucleation are short enough, i.e. below the typical laboratory time scales<sup>2</sup>.

Observation of homogeneous nucleation is simply a matter of having the overall non-steady state homogeneous nucleation rate (after accounting the time lag) that is larger than some critical rate below which homogeneous nucleation will not be observed in the laboratory time/size scales. A conservative estimate of this critical rate is about  $10^3 \text{ m}^{-3} \text{ s}^{-1}$ . In addition, the nucleation time-lags must be short enough, e.g. below a few days.

According to our analysis, there are three factors that support the notion that homogeneous nucleation is less likely to be observed when  $x^*$  is less than  $x_g$ . These are:

a) The magnitude of the maximum nucleation rate decreases as  $x^*$  increases. This can be seen by substituting  $x^*$  for  $x$  in eqn 16. Note that the first term on the right hand side (the  $\ln(x)$  term) is not significant. This result is also clear in the calculations of Fokin et al<sup>2</sup> (see their figure 3) of homogeneous nucleation rates. Thus it

becomes more difficult to observe homogeneous nucleation when  $x^*$  is large.

b) Only at higher values,  $x^*$  becomes less than  $x_g$  (for values of  $x_g$  greater than the crossover value  $\underline{x}_g$ ).

c) Eqn 16 shows that the magnitude of the maximum nucleation rate decreases as  $C_1$  increases. In addition, as shown in figure 4, a high value of  $C_1$  gives rise to a lower value of the cross-over  $\underline{x}_g$  and, therefore, to a larger range of  $x_g$  values over which  $x^*$  is less than  $x_g$ .

Another important factor, which was not explored in this short article, but was demonstrated earlier by Fokin et al.<sup>2</sup>, is that the nucleation time-lags are very long for compositions having  $x^* < x_g$ . These long time lags also preclude the experimental observation of homogenous nucleation in the high  $T_g$  (high  $x_g$ ) glasses. The net result of these factors is that when  $x^* < x_g$ , the chances of observing homogeneous nucleation in laboratory time/size scales dramatically diminish. This result is indeed confirmed by experiments.

## CONCLUSIONS

Despite its shortcomings in predicting the magnitudes of the steady-state nucleation rates, CNT may be useful for the analysis of qualitative trends among features of the nucleation process that do not involve absolute homogeneous nucleation rate values. For

example, the equations derived here and associated calculations show that, when combined with experimental viscosity data and calculated values of the thermodynamic driving force and surface energy, CNT predicts that the temperature of maximum nucleation rate  $x^*$  increases (and the magnitudes decrease) with increasing  $x_g$ . In addition, we show that  $x^*$  increases with increase in fragility (for a given value of the normalized thermodynamic barrier) and decreases with increase in the thermodynamic barrier (for a given value of fragility). These trends also agree with experimental observations.

Further, our calculations support the observation that for  $x^* < x_g$ , homogeneous nucleation is less likely to be observed.

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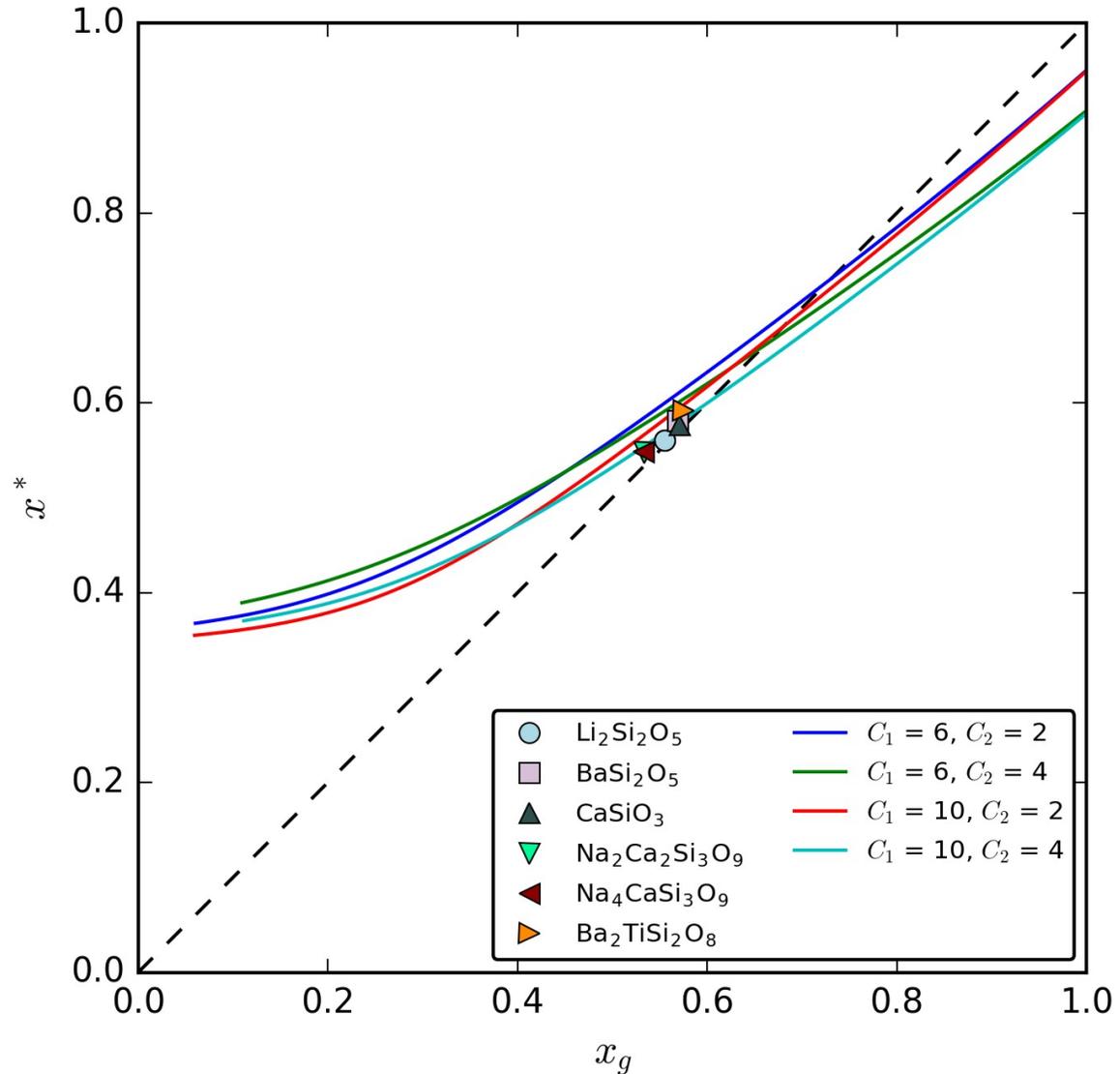


Figure 1: Calculated reduced temperature of maximum nucleation rate,  $x^*$ , versus reduced glass transition temperature,  $x_g$ , for typical values of the parameters controlling the thermodynamic barrier ( $C_1$ ) and the kinetic barrier ( $C_2$ ) in oxide glass-formers using eqn 19. Experimental data points for six oxide compositions that undergo homogeneous nucleation are shown by symbols. The dotted line refers to  $x^* = x_g$ . The uncertainty in  $x_g$  (see Table I) is smaller than the symbol sizes.

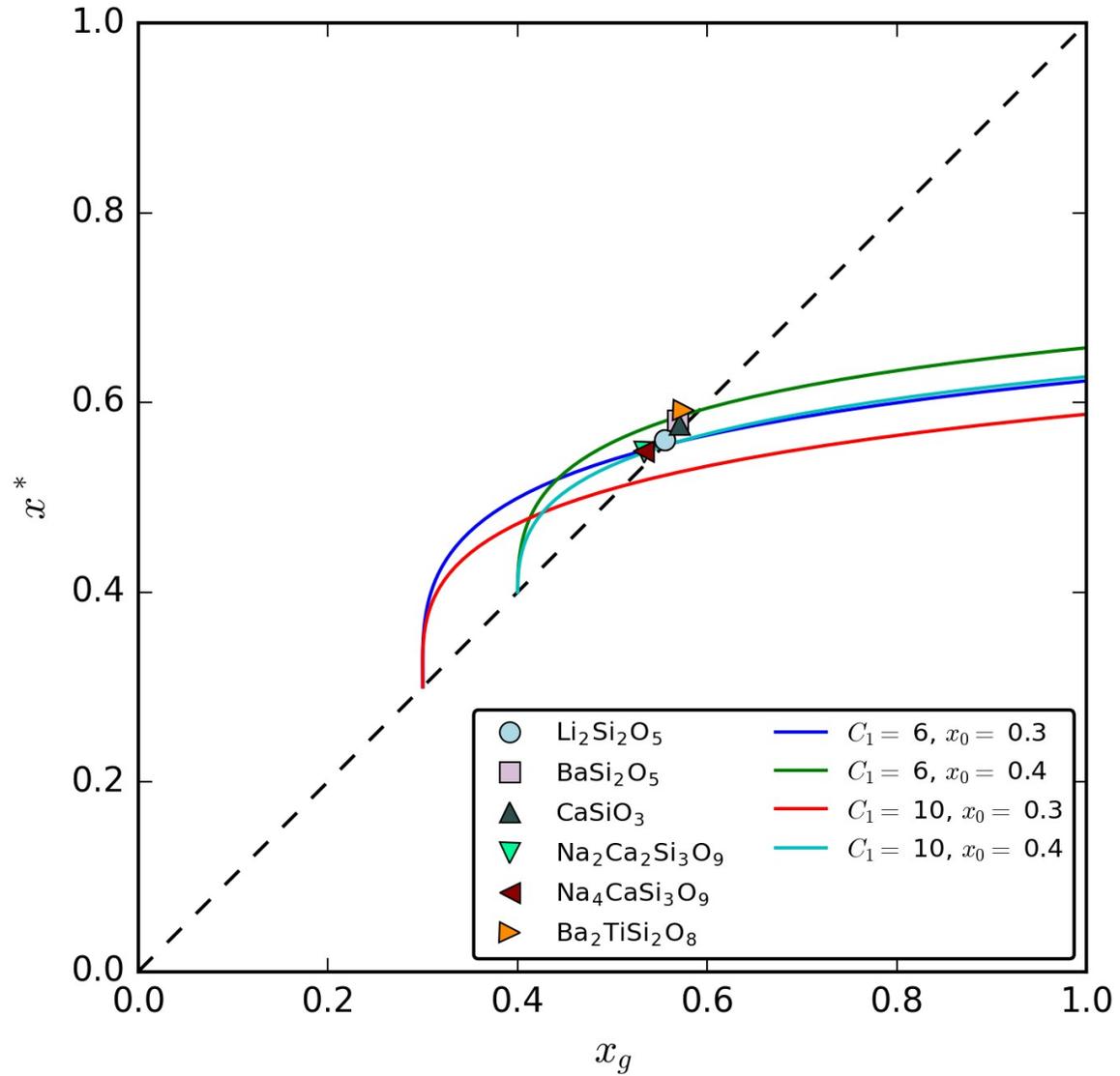


Figure 2: Calculated reduced temperature of maximum nucleation rate,  $x^*$  versus reduced glass transition temperature,  $x_g$ , for different values of the parameters  $x_0$  and  $C_1$  using equation 20. Experimental data points for six oxide glasses that undergo internal (homogeneous) nucleation are shown by symbols. The dotted line refers to  $x^* = x_g$ . The uncertainty in  $x_g$  (see Table I) is smaller than the symbol sizes.

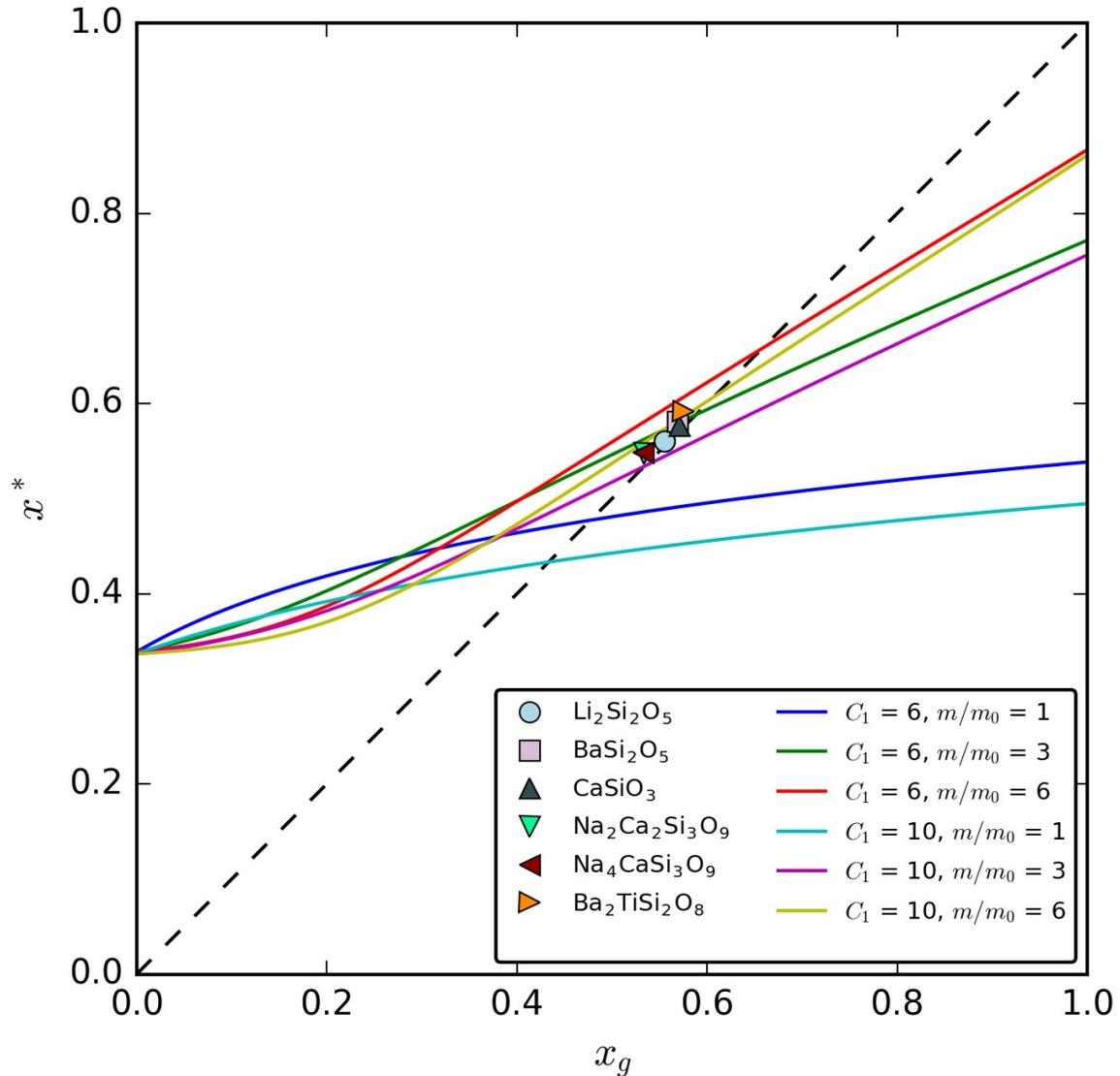


Figure 3: Calculated reduced temperature of maximum nucleation rate,  $x^*$  versus reduced glass transition temperature,  $x_g$ , for different values of the parameters  $m$  and  $C_1$  using equation 21. Experimental data points for six oxide glasses that undergo internal (homogeneous) nucleation are shown by symbols. The dotted line refers to  $x^* = x_g$  and corresponds to the case when  $m$  is infinite. The uncertainty in  $x_g$  (see Table I) is smaller than the symbol sizes.

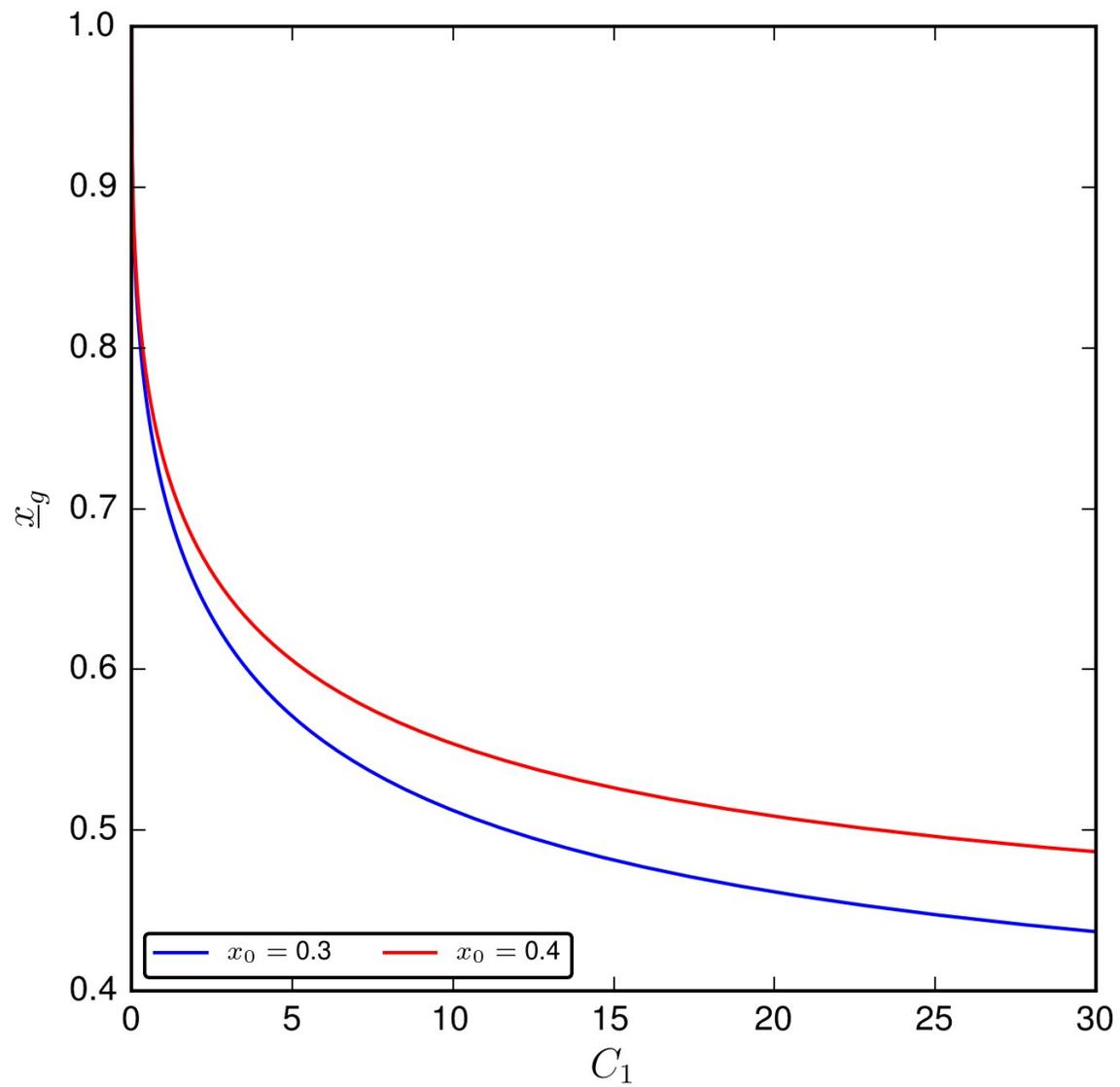


Figure 4: Calculated values of  $x_g$  as a function of  $C_1$  for two values of  $x_0$  using eqn 25. Note that  $x_g$  increases with increase in  $x_0$  and with decrease in  $C_1$ .